

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of)	
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Daniel R. KLEMER et al.)	Group Art Unit: Unassigned
)	
Application No.: Unassigned)	Examiner: Unassigned
)	
Filed: September 25, 2006)	Confirmation No.: Unassigned
)	
For: OPTICAL LIMITER HAVING)	
TRIMETALLIC NITRIDE)	
ENDOHEDRAL METALLOFULLERENE)	
FILMS)	

FIRST INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure as set forth in 37 C.F.R. § 1.56, the accompanying information is being submitted in accordance with 37 C.F.R. §§ 1.97 and 1.98.

Pursuant to 37 C.F.R. § 1.98, a copy of each of the documents cited is enclosed. However, copies of the listed U.S. patents and U.S. patent application publications are not enclosed since it is no longer required according to the July 11, 2003 waiver of the requirement for copies of cited U.S. patents and U.S. patent application publications in national patent applications filed after June 30, 2003 and international applications entering the national stage under 35 U.S.C. § 371 after June 30, 2003.

Also enclosed are copies of the International Search Report and Written Opinion of the International Searching Authority in connection with the related International Application.

U.S. Patent Documents

1. DORN et al., U.S. Patent No. 6,303,760, issued on October 16, 2001.
2. ATA et al., U.S. Patent No. 6,815,067 A, issued on November 9, 2004.
3. EKLUND, U.S. Patent No. 5,453,413, issued on September 26, 1995.
4. SNOW et al., U.S. Patent No. 5,805,326, issued on September 8, 1998.
5. TUTT, U.S. Patent No. 5,172,278, issued December 15, 1992.

The documents are being submitted within three (3) months of the filing or entry of the national stage of this application or before the first Office Action on the merits, whichever is later. Since these documents are being filed within the time period set forth in 37 C.F.R. § 1.97(b), no fee or statement is required.

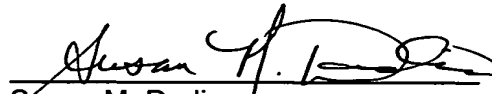
To assist the Examiner, the documents are listed on the attached form PTO-1449. It is respectfully requested that an Examiner initialed copy of this form be returned to the undersigned.

Respectfully submitted,

BUCHANAN INGERSOLL & ROONEY PC

Date: September 25, 2006

By:


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Substitute for form 1449/PTO & 1449B/PTO

IAPC1 Rec'd PCT/PTO 25 SEP 2006

FIRST
INFORMATION DISCLOSURE
STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 1

Application Number	Unassigned
Filing Date	September 25, 2006
First Named Inventor	Daniel R. KLEMER et al.
Examiner Name	Unassigned
Attorney Docket No.	1034136-000038

U.S. PATENT DOCUMENTS

Examiner Initials	Document Number	Kind Code (if known)	Name of Patentee or Applicant of Cited Document	Issue/Publication Date (MM-DD-YYYY)
	6,815,067	A	ATA et al.	02-13-2003
	6,303,760	B1	DORN et al.	10-16-2001
	5,453,413	A	EKLUND	09-26-1995
	5,805,326	A	SNOW et al.	09-08-1998
	5,172,278	A	TUTT	12-15-1992

FOREIGN PATENT DOCUMENTS

Examiner Initials	Document Number	Kind Code (if known)	Country	Date of Publication (MM-DD-YYYY)	STATUS						
					Translation	Partial Translation	Eng. Lang. Summary	Search Report	IPER	Abstract	Cited in Spec

NON-PATENT LITERATURE DOCUMENTS

Examiner Initials	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with M.P.E.P. § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.